ENGAGE 2022 Women's Conference Agenda

The Jefferson Hotel, 101 W. Franklin Street, Richmond, VA 23220

Bringing together women in finance for education, support, and mentorship.

Tuesday, April 19, 2022

2:00 PM – 4:00 PM — Ginter Gallery

Registration

Sponsor: Vanguard

4:00 PM — Grand Ballroom

Welcome & Introduction

Pete Quinn, President & CEO | RiverFront Investment Group

4:15 PM — Grand Ballroom

Kickoff Keynote: Creative Confidence ***Sponsor: J.P. Morgan***

Courtney Ferrell, Creative Thinking Partner, Speaker, Writer

Creative confidence is the key to personal and professional growth. Understanding how to build this core capability will help you to move any business initiative and life goal forward. The focus will be on how to think strategically, creatively and tactically all at the same time. This highly interactive and story filled 30 minutes will act as an accelerator to positive personal change and wake you up to your professional growth potential.

5:30 PM — Main Street Entrance, 1st Floor off Rotunda, Jefferson Hotel

Transportation to Welcome Reception

Meet at 1st Floor Main Street Entrance

6:00 PM – 9:00 PM — Virginia Museum of Fine Arts: Marble Hall

Welcome Reception w/ Dinner & Networking

Return Transportation to Jefferson Hotel between 8:00 PM – 9:00 PM

Wednesday, April 20, 2022

7:00 AM – 8:00 AM — Empire Ballroom

Meditation/Yoga

8:00 AM – 9:00 AM — Grand Ballroom

Networking Breakfast

Sponsor: GlobalX

9:00 AM — Grand Ballroom

Keynote: Courage, Trust, & Resilience: Becoming a Great Leader

Stevie McFadden, Owner | Flourish Spaces

Fearlessness, the ability to speak truth to power, make courageous choices and have difficult conversations, is one of the hallmarks of a great leader. Perversely, the thing many of us dread the most - failure - can show us how to operate fearlessly. This keynote focuses on the role of trust, courage, and resilience in becoming a great leader.

Sponsor: BlackRock

10:30 AM — Ginter Gallery

Break ***Sponsor: GlobalX***

10:45 AM — Grand Ballroom

The Power of Mentorship Panel Discussion

Cheron Smalls, Director of Human Resources & Talent Management | RiverFront Investment Group

Barbara Griffin, CIMA®, CPWA®, Director | Robert W. Baird & Co.

Ashwini Walde, Director of Advisory Programs | Janney Montgomery Scott

Wednesday, April 20, 2022 (continued)

11:30 AM — Grand Ballroom

Three Essential Playbooks for High Performing Teams

Jordan Boomer | Senior Talent Development Consultant | Robert W. Baird & Co.

Loretta Charron, CFP, CIMA®, Director, Business Development Consultant | Robert W. Baird & Co.

Sponsor: Baird

12:00 PM — Ginter Gallery

Break & Pick Up Lunch ***Sponsor: Goldman Sachs***

12:20 PM — Grand Ballroom

Lunch & Market Outlook with RiverFront Portfolio Manager Panel

Moderator: Rebecca Felton, Senior Market Strategist | RiverFront Investment Group

Chris Konstantinos, CFA®, Director of Investments | Chief Investment Strategist

Adam Grossman, CFA®, Global Equity CIO | Co-Head of Investment Committee

Kevin Nicholson, CFA®, Global Fixed Income CIO | Co-Head of Investment Committee

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1:30 PM — Grand Ballroom

Managing Transition During Unprecedented Times

Lorraine Wang, Founder | GAMMA Investing

Our industry is facing a period of massive change and disruption resulting from a confluence of megatrends and macro forces. What is it like to start a new asset management business as a woman and minority owner during this unprecedented time, and how to stay ahead of the game as the megatrends reshape this industry?

2:00 PM — Ginter Gallery

Break ***Sponsor: GlobalX***

2:15 PM — Grand Ballroom

Values-Based Engagement Workshop: Unlocking the Power of Core Values

Britten Parker, Facilitator and Coach | InnerWill Leadership Institute

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3:00 PM — Grand Ballroom

Financial Advisor Panel

Moderator: April Ferrell, CIMA®, Managing Director, Head of Asset Management | Barron's

Emily Phillips, Financial Advisor, The Drosner Phillips Group | Robert W. Baird & Co.

Michelle Smith, Chief Executive Officer | Source Financial Advisors

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4:00 PM — Grand Ballroom

Leveraging RiverFront in Your Business

Sierra Jones, Regional Director, Southwest | RiverFront Investment Group

Rebecca Felton, Senior Market Strategist | RiverFront Investment Group

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4:30 PM — Empire Ballroom

Moment of Celebration

5:00 PM — Empire Ballroom

Happy Hour ***Sponsor: State Street***

6:00 PM – 9:00 PM — Empire Ballroom

Dinner & Dancing

Thursday, April 21, 2022

8:30 AM – 10:00 AM — Rotunda

Farewell Networking Breakfast

About ENGAGE:

RiverFront founded Engage in 2019 with the goal of changing and improving the experience for women in financial services. The mission of Engage is to engage women in our industry through mentorship, education, and support. The Engage initiative also recognizes the importance of instilling confidence in women as investors. Our goal is to work with advisors to provide meaningful communication strategies to ensure we are reaching women investors. We work with advisor teams across the country to highlight how women's natural strengths provide advantages in investing and work to instill confidence in their female clients.

Thank you to our *Sponsors:*

Private Wealth Management BAIRD

iShares by BlackRock

J.P.Morgan Asset Management

Goldman Sachs Asset Management

Vanguard

GLOBAL X by Mirae Asset

STATE STREET GLOBAL ADVISORS SPDR

Speakers:

Jordan Boomer | Senior Talent Development Consultant | Robert W. Baird & Co.

Three Essential Playbooks for High Performing Teams

Prior to joining Baird, Jordan spent 5 ½ years in a consulting role at Applied Learning, working with Fortune 500 companies to design and deliver employee engagement tools for their organizations.

Jordan Boomer joined Baird in 2010, overseeing the Financial Advisor Training Program, which he ran for 8.5 yrs. During that time, he worked with over 250 new FAs and their teams. In 2017, Jordan joined PWM as a Sr. Professional Development Consultant, delivering Branch Leadership and Wealth Management programming for the field. Now as a Sr. PWM Teams Consultant, Jordan partners with Financial Advisors and FA teams to help them drive growth at various stages of the teaming lifecycle: Team Formation & Expansion, Team Effectiveness, and Succession Planning. He also currently serves as an advisor to the Next Gen Advisor Council.

Loretta Charron, CFP, CIMA®, Director, Business Development Consultant | Robert W. Baird & Co.

Three Essential Playbooks for High Performing Teams

Loretta joined Baird in 2011 as a Business Development Consultant responsible for driving growth initiatives with the firms Branch Managers and Financial Advisors. Efforts focus on coaching advisors to develop a wealth management practice in the "4 Rooms of the House" (client service, practice management, wealth solutions and new business development) in partnership with her Wealth Center colleagues.

Kaetlin Collins, CFA®, Associate Portfolio Manager | RiverFront Investment Group

Portfolio Manager Panel

Kaetlin joined Riverfront in January 2018. Before that she worked in the P&C insurance industry where she was a commercial insurance underwriter. She graduated from Clemson University in May 2013 with a BS in Financial Management. Kaetlin also holds the FINRA Series 7 and 66 licenses and received her Chartered Financial Analyst® designation in 2021. Additionally, Kaetlin serves as the Chair of the REACH committee.

Rebecca Felton, Senior Market Strategist | RiverFront Investment Group

Portfolio Manager Panel

Rebecca serves as Senior Market Strategist, providing the external communications of our investment strategy. She brings almost 40 years of industry experience to this role. Rebecca has been with RiverFront since the company's founding in 2008 and most recently served as the firm's Chief Risk Officer.

April Ferrell, CIMA®, Managing Director, Head of Asset Management | Barron's

Financial Advisor Panel

April is Global Managing Director, Wealth & Asset Management of Barron's Group. In this role, she heads up partnerships and relationships with the asset management community across the globe. April also manages content from the asset manager cohort for the Barron's Advisor Summits, which includes all advisor channels and wealth management firms. She joined Barron's in 2010 as the National Sponsorship Manager. Previously, April was SVP, National Accounts Manager with BNY Mellon/Dreyfus and spent five years with J&W Seligman in National Accounts. She holds a BA in Economics from the University of Virginia. April also has her CIMA designation.

Courtney Ferrell, Creative Thinking Partner, Speaker, Writer

Kickoff Keynote: Creative Confidence

Courtney Ferrell can be found standing at the busy intersection of ideas, energy and people. She spends her days provoking organizations and individuals to think and behave differently. She has worked as a creative consultant and thinking partner to the top executives of companies like Mattel, Disney, JPMorgan Chase, NBC, Nike, The Discovery Channel, General Electric, Penske, Fidelity and Capital One. Even Oprah recognized Courtney as an inspired change agent in the world of imagination, creativity and innovation in business.

Her witty sense of humor, her drink-from-a-fire-hose energy, and her anything goes change methods have garnered her feature articles in Fast Company, O Magazine, INC magazine, Triathlete Magazine and Style. She's been a visiting professor of Innovation at the University of Virginia's Darden School of Business, The University of Richmond E Claiborne Robins School of Business, The Lyle School of Engineering and Design at Southern Methodist University and The Kaos Pilots University in Aarhus, Denmark.

Barbara Griffin, CIMA®, CPWA®, Director | Robert W. Baird & Co.

The Power of Mentorship Panel Discussion

Barbara Griffin is a Senior Investment Consultant for The GTHM Group. Prior to joining Baird with her team in 2009, she worked as a financial advisor and was a First Vice President with Smith Barney and predecessor firms for 22 years. She focuses on wealth planning for corporate executives, business owners, and widowed or divorced women.

Adam Grossman, CFA®, Global Equity CIO | Co-Head of Investment Committee | RiverFront Investment Group|

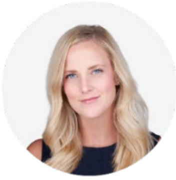
Portfolio Manager Panel

Adam serves as the Global Equity CIO and Co-Head of the Investment Committee, responsible for the investments of the US Equity and International Equity teams. He brings nearly two decade's worth of industry experience in quantitative risk management and portfolio analytics. Adam is responsible for the equity decisions made in the various strategies and the development of the factor models used in the investment processes at RiverFront.

Taylor Jarrett, CFA®, Associate Portfolio Manager | RiverFront Investment Group

Portfolio Manager Panel

Taylor serves as an Associate Portfolio Manager at RiverFront. In this role she is responsible for contributing general market and sector-specific research, portfolio analytics, and stock selection. In addition, Taylor serves on RiverFront's REACH committee and is a member of the ENGAGE leadership team.



Sierra Jones, Regional Director | RiverFront Investment Group

Leveraging RiverFront in Your Business

Sierra serves as Regional Director for the Southwest Region. Prior to joining RiverFront in November of 2019, Sierra served as a Business Development Associate for Northern Trust, focusing on growing the FlexShares ETF business and enhancing and growing advisor relationships in the Southwest Region. Sierra also worked as a Client Specialist for a Private Wealth Management team at Robert W. Baird in Phoenix, AZ.

Chris Konstantinos, CFA®, Director of Investments | Chief Investment Strategist | RiverFront Investment Group

Portfolio Manager Panel

Chris serves as Director of Investments and Chief Investment Strategist, with over 20 years of experience as an equity sector analyst, portfolio manager, and portfolio risk manager across domestic and international markets. Chris is also a regular guest on the financial news channels (CNBC, Bloomberg) and is frequently quoted in the financial press.

Stevie McFadden, Owner | Flourish Spaces

Keynote: Courage, Trust, & Resilience: Becoming a Great Leader

Stevie is an accidental entrepreneur with an unorthodox career path. After completing her graduate studies in Organizational Behavior, she held various Leadership and Consulting roles, all focused on helping organizations optimize the employee and / or the customer experience. Her love of design fueled the launch of a side hustle focused initially on residential interiors in 2014. Stevie passionately believes that the physical environment and user - employee, customer or patient - experience are deeply connected and recognized an opportunity to marry her love of great design and her organizational behavior expertise to create transformative places with the launch of Flourish Spaces.

Kevin Nicholson, CFA®, Global Fixed Income CIO | Co-Head of Investment Committee | RiverFront Investment Group

Portfolio Manager Panel

Kevin serves as the Global Fixed Income CIO and Co-Head of the Investment Committee, responsible for managing the firm's fixed income sub-advised ETFs as well as contributing to the tactical allocation process and other multi-asset decisions. Kevin's career has spanned nearly 30 years serving in various capacities in trading, portfolio management and risk management. He is a regular guest on financial news channels (CNBC, Bloomberg, Yahoo Finance).

Britten Parker, Facilitator and Coach | InnerWill Leadership Institute

Values-Based Engagement Workshop: Unlocking the Power of Core Values

Britten Parker is a Facilitator and Leadership Coach with InnerWill. Britten is an experienced trainer, facilitator, and coach specializing in Values Based Leadership. She is talented at connecting with audiences with her energy and down-to-earth approach. She especially loves helping individuals discover their core values and helping groups and organizations gain alignment to be more effective. Britten's ability to connect with audiences quickly and invest in organizations deeply positions her well to do both keynote speaking and work with long term clients committed to deep culture change within their organizations.

Emily Phillips, Financial Advisor, The Drosner Phillips Group | Robert W. Baird & Co.

Financial Advisor Panel

Emily has had an entrepreneurial career path. Prior to joining Baird, she worked in multiple industries including hospitality, fashion, management consulting and technology. Her unique background enables Emily to serve our clients in creative ways given her innate curiosity, desire to learn and capacity to adapt to change. Emily is also actively involved in philanthropy and the business community; she serves on several private advisory and NGO boards. Emily was a speaker at the 2016 TEDxUWMilwaukee event. She is also one of the 2017 Milwaukee Business Journal 40 Under 40 award recipients, the 2018 OneMKE Driver of Diversity Professional of the Year award, and was a 2020 Milwaukee Business Journal Women of Influence award recipient.

Cheron Smalls, SHRM-SCP, SPHR, Director of Human Resources & Talent Management | RiverFront Investment Group

The Power of Mentorship Panel Discussion

Cheron serves as the Director of Human Resources and Talent Management at RiverFront. She joined RiverFront in 2018, bringing more than 20 years of experience in all aspects of human resources management, including employee development, benefits strategy, recruiting and workforce planning. Cheron is a member of the firm's Executive Committee.

Michelle Smith, Chief Executive Officer | Source Financial Advisors

Financial Advisor Panel

Michelle Smith is the founder and CEO of Source Financial Advisors, a boutique RIA based in NYC with $550MM of AUM as of 6/1/2021. Source caters to high-net-worth divorced individuals and has trademarked a proprietary program and platform called 'Wife2CFO', a platform designed to guide women transitioning from divorce to financial independence. Ms. Smith's background includes experience at such firms as Merrill Lynch, PaineWebber (now UBS), and Wachovia Securities, where she started and developed her long-standing relationship with (now) Riverfront. She is a Certified Divorce Financial Analyst®, and a certified divorce mediator. Michelle has spent the majority of her 29-year career in financial services relentlessly promoting, advocating for and creating programs to address Diversity & Inclusion in the workplace and in education. She is a co-founder of The IDEAL School of Manhattan, New York's only K-12 independent inclusion school. IDEAL celebrates and educates children with differing abilities within a diverse and nurturing educational community.

Ashwini Walde, Director of Advisory Programs | Janney Montgomery Scott

The Power of Mentorship Panel Discussion

Ashwini Walde serves as Vice President and Director of Advisory Programs for Janney Montgomery Scott LLC in Philadelphia, PA. She leads a team of program managers and is responsible for building and managing the suite of investment solutions on Janney's Wealth Management platform. Ashwini (Ash) joined Janney in 2015 as a Business Technology Leader, responsible for leading multi-department technology initiatives. She has 17 years of experience in financial services industry, 13 of those in FinTech-focused roles such as project management, software development, and business analysis at various organizations including TIAA-CREF, Bank of New York Mellon, Northern Trust Bank, Cognizant Technology Solutions, and Hexaware Limited.

In 2017, Ashwini was presented with the Janney Values Award, recognizing her commitment to carrying out the Firm's core principles. Her positive impact extends beyond client work, having served as a Board Member of Janney's Diversity & Inclusion Council for three years, including its inception. Ashwini currently serves as President of Janney's Women's Interactive Network (WIN), an employee resource group of 600+ members, working to improve the business and professional environment for all, with an emphasis on the advancement, retention, and recruitment of women.

Lorraine Wang, Founder | GAMMA Investing

Managing Transition During Unprecedented Times

Lorraine Wang is the founder and CEO of GAMMA Investing. Lorraine is a 30-year industry veteran in financial services, with over two decades of institutional asset management experience. She has proven track record in growing an ETF startup to a $300 billion franchise in 14 years, through product innovation and successful commercialization of a unique product range. Previously, Lorraine was the Global Head of ETF Products & Research at Invesco PowerShares. She also served in various leadership positions at the New York Stock Exchange, Morgan Stanley, JP Morgan and Citi. Lorraine received a B.S. degree in Management Science from MIT and is a Chartered Financial Analyst.